

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | F | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---------------------------------------|---------|------------|----------------------|---------------------|------------------|
| 10/789,648 02/27/2004 | | 02/27/2004 | Peter K. Chu | 8544-AFP/GDM | 9157 |
| 20349 | 7590 | 02/21/2006 | | EXAMINER | |
| POLAROII | | | HESS, BRUCE H | | |
| PATENT DEPARTMENT 1265 MAIN STREET | | | | ART UNIT | PAPER NUMBER |
| WALTHAM | I, MA 0 | 2451 | 1774 | | |

DATE MAILED: 02/21/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | Application No. | Applicant(s) | | | | |
|--|--|------------------------------------|-----------------------|--|--|--|--|
| | | 10/789,648 | CHU ET AL. | | | | |
| | Office Action Summary | Examiner | Art Unit | | | | |
| | | Bruce H. Hess | 1774 | | | | |
| | The MAILING DATE of this communication app | ears on the cover sheet with the c | orrespondence address | | | | |
| Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE | | | | | | | |
| Status | | | (->0) | | | | |
| 1)[🛛 | Responsive to communication(s) filed on | is-o4(IDS) and | 12-3-04 (IDS) | | | | |
| | This action is FINAL. 2b) This action is non-final. | | | | | | |
| 3)□ | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | | |
| | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| Dispositi | ion of Claims | | | | | | |
| 4)\[\frac{1}{2} \] | 4) Claim(s) 1-25 is/are pending in the application. | | | | | | |
| , - | 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| 5) | Claim(s) is/are allowed. | | | | | | |
| 6)[7] | 6) Claim(s) 1-25 is/are rejected. | | | | | | |
| 7) 🗍 | Claim(s) is/are objected to. | | | | | | |
| 8)[| Claim(s) are subject to restriction and/or | r election requirement. | | | | | |
| Applicati | ion Papers | | | | | | |
| 9)[| The specification is objected to by the Examine | r. | | | | | |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. | | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | | |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | | | | | | | |
| Priority (| under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | | |
| 2) Notice | tot(s) ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) or No(s)/Mail Date | · <u></u> | | | | | |

Art Unit: 1774

Claims 1-25 are rejected under 35 USC 112 (2) as being indefinite for the reasons set forth below:

A. Claims 1-8, 11-18 and 21-25 recite an imaging member which can comprise only one "layer". By definition, a layer is a thickness of some material laid over or spread upon a surface. Consequently, an underlying support or substrate should also be recited;

- B. Claims 1-4, 12-14 and 22-25 recite a "first" color-forming layer without reciting a second "color-forming layer; and
- C. Claims 1-6, 8-16 and 18-25 recite a "first" chemical compound without reciting a second chemical compound.

Claims 1, 13, 21 and 22 are rejected under 35 USC 102 (b) as being anticipated by any of the patents to Naito et al. I (USP 5,663,115), Naito et al. II (USP 6,010,808), Tsutsui et al. (EP 0 576 015) or Suzuki et al. (EP 1 234 681).

Claims 1-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over any of the patents to Naito et et al. I or II, Tsutsui et al. or Suzuki et al.

These patents all teach color imaging members comprising a substrate having a color-forming layer which employs a crystalline chemical compound which can be converted into a liquid in the amorphous form upon the application of heat. The aforementioned crystalline and amorphous forms have intrinsically different colors.

Multiple color-forming layers can be present which can be yellow, magenta and cyan. The experimental modification of this prior art in order to ascertain optimum operating conditions (e.g., determine melting points and color-forming layer locations) fails to

Art Unit: 1774

render applicants' claims patentable in the absence of unexpected results. The pertinent portions of these patents are listed on International Search report submitted by applicants.

Page 3

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-25 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-11 of copending Application No. 10/788,963, claims 1-15 of copending Application No. 10/789,566 or claims 1-16 of copending Application No. 10/789,600. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of the aforementioned applications teach specific examples of the chemical compounds generically taught by the present application.

Art Unit: 1774

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

B. HALLATON HESS PRIMARY EXAMINER

Bune Hox